

UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,746	02/15/2001	Robert Stevens	046700-5033

CONFIRMATION NO. 2175

FORMALITIES LETTER



OC000000005904239

 MORGAN, LEWIS & BOCKIUS LLP
 1701 Market Street
 Philadelphia, PA 19103-2921

Date Mailed: 03/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

 05/31/2001 HBERKE 00000061 09:33746
 01 FC:101 710.00 OP
 02 FC:102 130.00 OP



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



sector \$

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert G. Stevens :

Serial No.: 09/783,746 :

Attorney Docket No.: 046700-5033

Filed: 02/15/01 :

For: SYSTEM AND METHOD FOR
CREATING A SPOT MARKET :

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

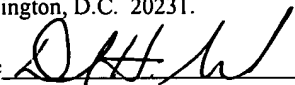
In response to the Notice to File Missing Parts of Application Filing Date Granted mailed March 26, 2001, enclosed are the following:

1. Fully Executed Declaration;
2. Power of Attorney By Assignee;
3. Assignment and Recordation Cover Sheet;
4. A copy of the Notice to File Missing Parts ;
5. Substitute Drawings with appropriate margins (9 sheets);
6. Check in the amount of \$880.00; and
7. Self-addressed stamped return postcard.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

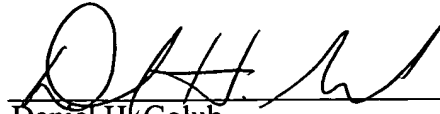
Name Daniel H. Golub

Signature 

Date of Deposit May 24, 2001

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayments in connection with this submission to Deposit Account No. 50-0310. A duplicate copy of this page is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D.H. Golub', is written over a horizontal line.

Daniel H. Golub

Registration No. 33,701

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1701 Market Street
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215.963.5055

Dated: May 24, 2001